



**PATENT**  
**IBM Docket No. DE920020042US1**

**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

|                         |   |                                 |
|-------------------------|---|---------------------------------|
| In re application of    | : | September 6, 2005               |
| V. Boettiger            | : | IBM Corporation - D-IQ0A/B040-3 |
|                         | : | 1701 North Street               |
| Serial No. 10/714,289   | : | Endicott                        |
|                         | : | New York 13760                  |
| Filed: 13 November 2003 | : | Unit: 2876                      |

For: Method of Entering an Authorization Code Into a Chip Card Terminal

**INFORMATION DISCLOSURE STATEMENT**  
**(37 CFR 1.97 (c))**

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

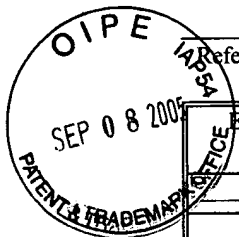
This Information Disclosure Statement is being filed under 37 C.F.R. §1.97(c).

The attached form, PTO-1449, provides a listing of publications as required by 37 CFR 1.98(a)(1). As required by 37 CFR 1.98(a)(2), a copy of each reference listed on the form is also attached.

MPEP 609 III A (2) waives the requirement under 37 CFR 1.98 (a)(2)(i) to provide copies of U.S. patents and published U.S. patent applications with an Information Disclosure Statement being submitted in an application filed after June 30, 2003.

Please charge the fee necessary for entry of this IDS to **IBM Corporation's Deposit Account Number 09-0457.**

Daniel E. McConnell  
Reg. No 20,360  
Attorney of Record  
PHONE 919-510-4246  
FAX 919-787-2749

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANTS' INFORMATION DISCLOSURE  
STATEMENT**

Reference Designation

**U.S. PATENT DOCUMENTS**

| Examiner Initial | Document Number | Date | Name | Class | Subclass | Filing Date if Appropriate |
|------------------|-----------------|------|------|-------|----------|----------------------------|
| ___ AAA          |                 |      |      |       |          |                            |
| ___ ABA          |                 |      |      |       |          |                            |
| ___ ACA          |                 |      |      |       |          |                            |
| ___ ADA          |                 |      |      |       |          |                            |
| ___ AEA          |                 |      |      |       |          |                            |
| ___ AFA          |                 |      |      |       |          |                            |
| ___ AGA          |                 |      |      |       |          |                            |
| ___ AHA          |                 |      |      |       |          |                            |
| ___ AIA          |                 |      |      |       |          |                            |
| ___ AJA          |                 |      |      |       |          |                            |

**FOREIGN PATENT DOCUMENTS**

| Examiner Initial | Document Number | Date | Country | Class | Subclass | Translation<br>Yes No |
|------------------|-----------------|------|---------|-------|----------|-----------------------|
| ___ AKA          |                 |      |         |       |          |                       |
| ___ ALA          |                 |      |         |       |          |                       |
| ___ AMA          |                 |      |         |       |          |                       |

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**Examiner  
Initial

\_\_\_ AMA Security Dynamics "Controlling Access to the Corporate Network", 1997, pages 1 through 8

\_\_\_ ANA David B. Everett "Smart Card Tutorial", 1992 and 1993, Parts 1 and 9 (full text at <http://www.smartcard.co.uk/tutorials/sct-itsc.pdf>)

\_\_\_ AOT

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.